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# Applying multi-phase particle swarm optimization to solve bulk cargo port scheduling problem

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#### ABSTRACT

Factors related to bulk cargo port scheduling are very complex and peculiar. Changes in the factors will affect the reusability of a model, so establishing a reliable scheduling model for bulk cargo ports is particularly important. This paper sorts the factors affecting bulk cargo port scheduling, such as the number of vessels, the number of berths, vessel-berthing constraints (basic factors), the service priority, and the makespan (special factors), and then establishes the non-deterministic polynomial (NP) model, which aims to minimize the total service time and makespan. Lastly, it solves the model based on the multi-phase particle swarm optimization (MPPSO) algorithm and Matlab. Some important conclusions are obtained. (1) For the model neglecting priority, the total service time is the smallest, whereas the maximum waiting time and maximum operating time are relatively large, and the makespan is the latest. (2) For the model considering priority, the total service time is relatively large, whereas the maximum waiting time and maximum operating time are relatively small, and the makespan is relatively early. (3) For the model considering the makespan, the total service time is the mostlargest, whereas the maximum waiting time and especially the maximum operating time are the smallest, and the makespan is the earliest. We can choose different models according to different situations in bulk cargo port scheduling.

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## 1. Introduction

Berths are scarce resources in bulk cargo ports, and due to the increased throughput of vessels, the berth allocation problem (BAP) is inevitable. Additionally, the uncertainty of vessels at a port, the specific demand for different vessels, the time lag of vessels leaving a port and vesselberthing constraints will all affect the scheduling of bulk cargo ports. The berth scheduling problem deals with the assignment of vessels to berths in a marine terminal, with the objective of maximizing the ocean carriers' satisfaction (minimize delays) and/or minimizing the terminal operator's costs [1].

The vessels differ with each other in many aspects, such as loaded cargoes, freight volume, and transport types, so ports must provide different processes, facilities, resources, etc. Experience, rather than standards, is needed in bulk cargo port scheduling, and the actual work takes considerable personal time and effort [2, 3]. Due to the disadvantages of experience, scholars began to study the factors related to scheduling [4, 5]; also, the setting of a scheduling goal can play an important role in the scheduling process [6, 7], and heuristics algorithms, simulation

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technology and artificial intelligence are introduced to solve the scheduling problem [8]. Factors related to bulk cargo port scheduling are very complex and peculiar, and changes in the factors will affect the reusability of a model. Existing research has not paid enough attention to special factors such as the service priority and makespan. This paper establishes the NP model, which aims to minimize the total service time and makespan, and solves the model based on the MPPSO algorithm. We organize this paper as following: In the following section, we present the literature review. In section 3, we propose the mathematical model, which forms the theoretical foundation of this study. In section 4, we solve the model based on the MPPSO algorithm, which provides the basis for our analysis. Finally, in the last section, we conclude the study.

#### 2. Literature review

Due to the disadvantage of experience, scholars began to study the factors related to scheduling. For example, Xu considered the BAP in container terminals in which the assignment of vessels to berths was limited by water depth and tidal conditions [4]. Raa et al. dealt with the quay crane assignment problem (CAP) when scheduling vessels [5]. Meisel and Bierwirth investigated the combined problem of berth allocation and crane assignment in container terminals, and their proposed model considered the decrease of marginal productivity of quay cranes assigned to a vessel and the increase in handling time if vessels were not berthed at their desired position at the quay [10]. Cheong et al. considered a BAP that required the determination of exact berthing times and positions of incoming vessels in a container port. The proposed algorithm was developed to concurrently minimize the three objectives of makespan, waiting time, and degree of deviation from a predetermined priority schedule [1]. Golias et al. presented a berth-scheduling policy to minimize delayed departures of vessels and indirectly reduce fuel consumption and emissions produced by vessels. Vessel arrival times were considered as a variable and were optimized to accommodate the objectives of the proposed policy [11]. Türkoğulları et al. focused on the integrated planning of problems faced at container terminals. The problem included berth allocation, quay crane assignment, and quay crane assignment [12].

For the BAP, the mathematical model cannot cover all the factors, and the objective function can influence the scheduling results greatly. For example, Imai et al. minimized the waiting time to a dynamic berth assignment for vessels in the public berth system [6]. Guan et al. considered a scheduling model in which the objective was to minimize the total weighted completion time of the jobs, and this problem was motivated by the operation of berth allocation [7]. Imai et al. discussed two typical schemes for berth allocation: one in discrete locations and the other in continuous locations. The former had the advantage of being easy to schedule, and the latter exhibited the complete opposite characteristics [13]. Legato et al. presented a queuing network model of logistic activities related to the arrival, berthing, and departure processes of vessels at a container terminal, and argued that non-standard service stations, time-dependent priority mechanisms, and complex resource allocation policies could prevent the use of analytical approaches to the solution [14]. Robenek proposed an exact solution algorithm based on a branch and price framework to solve the BAP. The objective was to minimize the total service time of vessels berthing at the port [15]. Arango et al. put forward allocation planning aimed at minimizing the total service time for each vessel and considered a first-come-first-served allocation strategy [8].

A variety of heuristics algorithms, simulation technology and artificial intelligence has been introduced to solve the scheduling problem. For example, Arango et al. proposed a mathematical model and developed a heuristic procedure based on a genetic algorithm to solve non-linear problems, and Arena software computational experiments showed that the proposed model could improve the current berth management strategy [8]. Galzina et al. proposed a novel adaptive model with fuzzy particle swarm optimization to solve flow-shop scheduling problem, benchmark examples were utilized to evaluate the proposed model which is applied on flow production problem [9]. Nishimura et al. developed a heuristic procedure based on a genetic algorithm to obtain a good solution with considerably small computational effort, and experiments showed that the proposed algorithm was adaptable to real world applications [16]. Imai et al. addressed a two-objective berth allocation problem: the vessel service quality, expressed

by the minimization of delay in vessels' departure, and berth utilization, expressed by the minimization of the total service time. A genetic algorithm was proposed to solve this problem. The numerical experiments showed that the genetic algorithm outperformed subgradient optimization [17]. Fu et al. presented a genetic algorithm to analyze the integrated quay crane assignment and scheduling problem due to its complexity, and the computational results validated the performance of the proposed algorithm [18]. To optimize the process plans generated from complex parts, Wang et al. modified the traditional particle swarm optimization (PSO) algorithm [19]. Mocnik et al. put forward the modeling of dimensional deviation of workpiece using regression, ANN and PSO models [20]. Ting et al. focused on the discrete and dynamic berth allocation problem, assigning vessels to discrete berth positions and minimizing the total waiting times and handling times for all vessels. The particle swarm optimization approach was developed to solve the BAP [21].

The current studies mainly focus on influencing factors, objective functions and algorithms. Factors such as the priority service and makespan are not considered in these models, and any changes in factors will affect the model reusability. Therefore, the following research problem arises: how do specific factors affect model reusability, and what are the differences among different models? No related work solves this problem. Based on the analysis of scheduling factors, combined with the MPPSO algorithm, bulk cargo port scheduling model is formed and solved considering the priority and makespan. The models can make sense of bulk cargo port scheduling.

## 3. Mathematical model

In this paper, four main assumptions are made regarding the status of a vessel: (a) all vessels to be served are already in the port before the planning period starts, (b) the vessels are scheduled to arrive after the planning period starts, (c) the vessels cannot move to other berths if the berth allocation is finished, and (d) the time of vessels moving is ignored.

#### 3.1 The standard model

There are two kinds of vessel-berthing constraints in the process of berth allocation: the physical conditions and the operating conditions. The constraints can be set as follows:

$$\sum_{i=1}^{n} v_i = V \text{ and } \sum_{j=1}^{m} b_j = B$$

$$cargo(v_i) = V_{cargo}$$

$$\sum_{i=1}^{n} V_{cargo} = V_{cargo}$$

$$\sum_{i=1}^{n} V_{i} = V \text{ and } \sum_{j=1}^{m} b_j = B$$

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where  $v_i$  is the vessel,  $b_j$  is the berth, V is the vessels set, B is the berth set,  $cargo(v_i)$  is the cargo,  $V_{cargo}$  is the cargo type set, and  $B_{type}$  is the berth type set. Only if the cargo type is in accordance with the berth type can the job start in the berth.  $berth(v_i)$  indicates berth j provides service for vessel i. Therefore, the matrix of vessel-berthing constraints can be set as follows:

$$A = \begin{bmatrix} y_{11} & \cdots & y_{1n} \\ \vdots & \ddots & \vdots \\ y_{m1} & \cdots & y_{mn} \end{bmatrix}$$
 (2)

where  $0 < I \le m$ ,  $0 < j \le m$ ,  $I \le m$ ,  $y_{ij} = (0,1)$ 

The scheduling model should comprehensively consider the specific needs of different vessels, so the priority factor is included in the model:

$$P_i = \alpha v_i^{cutomer} + \beta v_i^{cargo} + \gamma v_i^{trade}$$
(3)

where  $\alpha$ ,  $\beta$ , and  $\gamma$  are the coefficients and  $v_i^{customer}$ ,  $v_i^{cargo}$ , and  $v_i^{trade}$  are the weights of factors (vessel, its cargo and trade type), respectively. Therefore, priority  $X_{ijk}$  can be generated by  $P_i$ .

There are many variables and parameters included in the bulk cargo port scheduling model, such as the number of vessels, the number of berths, vessel-berthing constraints, the service priority, the operating time and the waiting time. Therefore, scheduling can be affected by the following multi-tuple:

$$Cap\_sercice(Vn, Bn, Ws_i, Tf_i, Ts_i, Te_i, Tw_i, BV_i, Be_i, X_{ijk}, y_{ij})$$

$$\tag{4}$$

where  $V_n$  is the number of vessels,  $B_n$  is the number of berths,  $Ws_i$  is the outturn for vessel i,  $Tf_i$  is the arrival time for vessel i,  $Ts_i$  is the job starting time for vessel i,  $Te_i$  is the job finishing time for vessel i,  $Tw_i$  is the waiting time for vessel i,  $BV_j$  is the job velocity for berth j,  $Be_j$  is the job finished time for vessel in berth j,  $X_{ijk}$  is the job sequence k for vessel i in berth j, and  $y_{ij}$  is the vessel-berthing constraints.

The multi-tuple can be prolonged if more factors and parameters are added in the model, and the multi-tuple can affect the model reusability. Scheduling should pay attention to the job efficiency of berths. Therefore, the objective of the model can be minimizing the makespan, that is  $Min(Max(Te_i))$ . The paper will analyze the standard model firstly.

To minimize the total service time (waiting time and operating time) for vessel *i*, that is

$$Ts_i - Tf_i + Ws_i/BV_i \tag{5}$$

The objective of standard model is

$$Min \sum_{i=0}^{Vn} \sum_{j=0}^{Bn} (Ts_i - Tf_i + Ws_i / BV_j) X_{ijk}$$
 (6)

subjected to

$$\sum_{i=0}^{Vn} \sum_{j=0}^{Bn} X_{ijk} = 1, where i = 1, 2, ..., Vn$$
(7)

Each vessel should be allocated by berth, where they can be allocated only one time:

$$\sum_{i=0}^{Vn} X_{ijk} \le 1, where j = 1, 2, ..., Bn$$
 (8)

Each berth can provide service for only one vessel at a time:

$$X_{ijk} \le y_{ij} \tag{9}$$

 $y_{ij}$  is the vessel-berthing constraints that the job must obey.

 $Tf_i \le Ts_i$  if the vessel arrival time is earlier than the job starting time, and  $Be_j \le Ts_i$  if the job starting time of vessel i is later than the job finishing time of vessel j in berth j.

#### 3.2 The improved model

Priority  $P_i$  is an important factor affecting scheduling, so  $P_i$  is added in the standard model. The waiting time or operating time is given the weight):

$$Min \sum_{i=0}^{Vn} \sum_{j=0}^{Bn} (Ts_i - Tf_i + Ws_i/BV_j) X_{ijk} P_i$$
 (10)

$$Min \sum_{i=0}^{Vn} \sum_{j=0}^{Bn} ((Ts_i - Tf_i)P_i + Ws_i/BV_j) X_{ijk}$$
(11)

In Eq. 10, the waiting time and operating time are given weights, and in Eq. 11, the waiting time is given the weight.

$$Min(Max(Te_i)), i \in V$$
 (12)

$$Max(Te_i) \ge Ts_i - Tf_i + Ws_i/BV_i \tag{13}$$

$$(Ts_i - Tf_i + Ws_i/BV_j) - (Ts_{i+1} - Tf_{i+1} + Ws_{i+1}/BV_{j+1}) \ge 0$$
(14)

Eq. 12 minimizes the makespan.

## 4. MPPSO for BAP and computational experiments

## 4.1 Algorithm design

MPPSO is developed to solve the BAP for a bulk cargo port.

## (1) Position coding

Each vessel has information about the job berths and job sequence, so each particle has twodimensional information about the job berths and job sequence. Job berths are affected by a vessel's own properties and berth conditions. Thus, we need to obtain the berths arrays firstly. For example, if berths 1, 3, and 6 are available for each vessel, the berths array is [1 3 6 0 0 0] (assuming six berths). The job sequence of particles can be explained by the continuous value; the smaller the value is, the earlier the vessel will obtain service (more details in Table 1).

Table 1 Example of position coding

| Dimension | 1             | 2             | 3        |
|-----------|---------------|---------------|----------|
| Berths    | [1 3 6 0 0 0] | [1 3 0 0 0 0] | [600000] |
| Sequence  | 2 0.6173      | 2 0.3214      | 1 0.4142 |

In Table 1, we can obtain the job berths and job sequence through the position decoding; that is, vessels 1 and 2 obtain service in berth 3, where berth 3 provides service for vessel 2 firstly, and vessel 3 obtains service in berth 6.

#### (2) Position renewal

The parameter setting of MPPSO is as follows:

- *T*: maximum iterations
- *N*: number of particle swarms
- *D*: dimension
- $v_{id}(t)$ : velocity of the *i*-th particle with the *d*-th dimension at time *t*
- $x_{id}(t)$ : position of the *i*-th particle with the *d*-th dimension at time *t*
- $g_d(t)$ : best positions discovered by all particles at time t or earlier, with d-th dimension
- ph: number of phases
- *pcf*: phase change frequency
- *g*: number of groups within each phase
- $C_v$ ,  $C_x$ , and  $C_g$ : coefficient value in each group within each phase
- *sl*: sub-length of the dimension
- *VC*: velocity change variable

Therefore, the renewal process of velocity and position is expressed as follows:

$$v_{id1}(t+1) = C_v v_{id1}(t) + C_x x_{id1}(t) + C_a g_{d1}(t)$$
(15)

$$x_{id1}(t+1) = x_{id1}(t) + v_{id1}(t+1)$$
(16)

$$v_{id2}(t+1) = C_v v_{id2}(t) + C_x x_{id2}(t) + C_g g_{d2}(t)$$
(17)

$$x_{id2}(t+1) = x_{id2}(t) + v_{id2}(t+1)$$
(18)

The pseudo-code for MPPSO is shown in Table 2:

#### Table 2 Pseudo-code for MPPSO

```
Step 0 read data: Vn and Bn
Step 1 get the berths arrays based on data
Step 2 T D N ph pcf g VC
Step 3 initialize the velocity and position for particles, get the best of positions Pgx and the best
      fitness value Pg
Step 4 iteration
for t = 1 : T
   if t is a multiple of VC reinitiate velocity
   determine its phase
    for i = 1 : N
     determine its group
     choose sl randomly from [1,min(10,n)]
     sl = roundn(rand*(min(10,D)-1)+1,0);
     deal with each dimension of particle
     d = 1;
     initialize the current dimension
     dimension limited
    while (d \le D)
       Cache the initial position of particle
       temp (1,:,:) = x(i,:,:);
       deal with the sub dimension of particle
       for j = 0: sl
         updating dimension
         d temp = d + j;
          if d_temp >D,break
           Step 4.1 updating the berth
         get the coefficient of velocity formula
         update the velocity of berth based on formula(12)
         update the position of berth based on formula(13)
          if out of berth array scope, reinitiate position
           Step 4.2 updating the sequence
         get the coefficient of velocity formula
         update the velocity of vessel based on formula(14)
         update the position of vessel based on formula(15)
       end
       judge the fitness
       if fitness(temp) < fitness(x(i,:,:))
           accept the updating
         x(i,:,:) = temp(1,:,:);
       end
     update the best of positions in particle swarm
     if fitness(x(i,:,:)) < Pg
       Pgx = x(i,:,:);
       Pg = f;
     end
  end
end
Step 5 decoding
```

#### 4.2 Computational experiments

Table 3 and Table 4 provide the data for vessels in the bulk cargo port and the data for berths, respectively.

Table 3 Data of vessels

| ID | Length | Width | Trade type | Arrival time    | Draft | Cargo    | Cargo<br>weight | Rating |
|----|--------|-------|------------|-----------------|-------|----------|-----------------|--------|
| 1  | 224    | 32    | foreign    | 2014-8-13 1:00  | 14.6  | coal     | 73900           | 9      |
| 2  | 228    | 38    | foreign    | 2014-8-13 19:00 | 13.7  | bean     | 77000           | 7      |
| 3  | 98     | 14    | foreign    | 2014-8-13 9:30  | 6.25  | fishmeal | 2600            | 8      |
| 4  | 228    | 32    | foreign    | 2014-8-13 12:00 | 12.3  | coal     | 64400           | 7      |
| 5  | 201    | 27    | domestic   | 2014-8-13 5:00  | 11.6  | coal     | 39500           | 8      |
| 6  | 191    | 31    | domestic   | 2014-8-13 20:00 | 12.4  | coal     | 18000           | 9      |
| 7  | 214    | 32    | domestic   | 2014-8-13 7:00  | 12    | coal     | 49600           | 3      |
| 8  | 201    | 32    | domestic   | 2014-8-13 18:00 | 12.5  | coal     | 56100           | 7      |
| 9  | 200    | 32    | domestic   | 2014-8-13 23:00 | 12    | coal     | 54200           | 8      |
| 10 | 226    | 32    | domestic   | 2014-8-13 5:00  | 12.5  | coal     | 63400           | 5      |
| 11 | 201    | 32    | domestic   | 2014-8-13 17:00 | 12.5  | coal     | 54500           | 8      |
| 12 | 226    | 32    | domestic   | 2014-8-13 19:00 | 12.5  | coal     | 61600           | 6      |
| 13 | 224    | 32    | foreign    | 2014-8-13 0:00  | 13.2  | coal     | 60000           | 9      |
| 14 | 224    | 32    | foreign    | 2014-8-13 0:00  | 13.4  | bean     | 66400           | 8      |

Note: the unit of the vessel length, vessel width and draft is meters; the unit of the cargo weight is tons.

Table 4 Data of berths

| ID | Depth | Length | Velocity | Туре       | The finished time of operating vessel |
|----|-------|--------|----------|------------|---------------------------------------|
| 1  | 15.5  | 250    | 5000     | Coal       | 2014-08-12 20:00                      |
| 2  | 13.5  | 230    | 4000     | Coal       | 2010-08-12 11:00                      |
| 3  | 12.5  | 165    | 3500     | general    | 2014-08-12 23:00                      |
| 4  | 12    | 231    | 2000     | Coal       | 2014-08-12 17:00                      |
| 5  | 13    | 215    | 5000     | Coal       | 2014-08-13 05:00                      |
| 6  | 14    | 230    | 4000     | Bulk grain | 2014-08-12 19:00                      |

Note: the units of berths depth, berth length, and velocity are meters, and tons per hour, respectively.

The weights of coal, bean, and fishmeal are 4, 4, and 8, respectively; the weights of foreign trade and domestic trade are 10 and 2, respectively, and  $\alpha$  = 0.5,  $\beta$  = 0.3, and  $\gamma$  = 0.2, so the priority index can be calculated (Table 5). The larger the priority index is, the earlier the vessel will receive service. A comparative analysis of the four model proposed in Section 3 is carried out below: ph = 2; pcf = 5; g = 2; sl  $\in$  [1, min (10,D)]; VC = 10; Cv = rand; T = 500; D = 14; N = 30; Cx = rand; Cg = -rand (particle 1 is in phase 1 or particle 2 is in phase 2); Cx = -rand, Cg = rand (particle 1 is in phase 1).

Table 5 The priority index

| ID | Value | ID | Value |
|----|-------|----|-------|
| 1  | 7.7   | 8  | 5.1   |
| 2  | 6.7   | 9  | 5.6   |
| 3  | 8.4   | 10 | 4.1   |
| 4  | 6.7   | 11 | 5.6   |
| 5  | 5.6   | 12 | 4.6   |
| 6  | 6.1   | 13 | 7.7   |
| 7  | 3.1   | 14 | 7.2   |

## (1) Model 1 – Eq. 6

The priority and makespan are not considered in this model. We use the setting as shown in Table 6. MPPSO is used to solve the model. The program runs approximately 900 times to achieve the optimal results when the objective function becomes stable and convergent, Fig. 1(a). The results are shown in Table 10. In Table 10, vessels 1, 4, and 12 obtain service in berth 1; vessels 13, 10, and 9 obtain service in berth 2; vessel 3 obtains service in berth 3; vessel 5 obtains service in berth 4; vessel 7, 11, 6, and 8 obtain service in berth 5; and vessels 14 and 2 obtain service in berth 6. The total service time is 9.55 days, and the makespan is 2014-08-14 20:24.

#### **Table 6** Model 1 setting

berths\_ships(i,4) = arrivedTime; berths\_ships(i,5) = startTime; waitingTime = startTime-arrivedTime; berths\_ships(i,6) = waitingTime; workingTime = ceil(weight\*60/effeciency)/60/24; sum = sum + (waitingTime + workingTime).

## (2) Model 2 – Eq. 10

Priority is added in this model, and the waiting time and operating time are given weights. We use the setting as shown in Table 7. MPPSO is used to solve the model, the program runs approximately 190 times to achieve the optimal results, when the objective function becomes stable and convergent, Fig. 1(b). The results are shown in Table 10. In Table 10, vessel 1, 11, 12 obtain service in berth 1; vessel 13,4, 10 obtain service in berth 2; vessel 3 still obtains service in berth 3; vessel 7 obtains service in berth 4; vessel 5,6,9,8 obtain service in berth 5; vessel 14, 2 still obtain service in berth 6; the total service time is 9.79 days; the makespan is 2014-08-14 22:57.

## Table 7 Model 2 setting

berths\_ships(i,4) = arrivedTime; berths\_ships(i,5) = startTime; waitingTime = startTime-arrivedTime; berths\_ships(i,6) = waitingTime; workingTime = ceil(weight\*60/effeciency)/60/24; sum = sum + (waitingTime + workingTime)\*priorityIndex.

## (3) Model 3 - Eq. 11

Priority is added in this model, and the waiting time is given a weight. We use the setting as shown in Table 8. MPPSO is used to solve the model. The program runs approximately 50 times to achieve the optimal results, when the objective function becomes stable and convergent, Fig. 1(c). The results are shown in Table 10. In Table 10, vessels 1, 4, and 10 obtain service in berth 1; vessels 13, 6, and 12 obtain service in berth 2; vessel 3 still obtains service in berth 3; vessels 5 and 9 obtain service in berth 4; vessels 7, 11, and 8 obtain service in berth 5; and vessels 14 and 2 still obtain service in berth 6. The total service time is 9.81 days; and the makespan is 2014-08-15 03:51.

#### Table 8 Model 3 setting

berths\_ships(i,4) = arrivedTime; berths\_ships(i,5) = startTime; waitingTime = startTime-arrivedTime; berths\_ships(i,6) = waitingTime; workingTime = ceil(weight\*60/effeciency)/60/24; sum = sum + (waitingTime\*priorityIndex + workingTime).

## (4) Model 3 – Eq. 12

This model will minimize the makespan neglecting the priority. We use the setting as shown in Table 9. MPPSO is used to solve the model. The program runs 120 times to achieve the optimal results when the objective function becomes stable and convergent, Fig. 1(d). The results are

shown in Table 10. In Table 10, vessels 1, 12, and 4 obtain service in berth 1; vessels 13, 10, and 9 obtain service in berth 2; vessel 5 obtains service in berth 4; vessels 7, 8, 11, and 6 obtain service in berth 5; vessels 14, 3, and 2 obtain service in berth 6; and berth 3 is idle. The total service time is 10.54 days, and the makespan is 2014-08-14 20:24.

## Table 9 Model 4 setting

Sum = sum + (waitingTime + workingTime);
start\_time = start\_time + workingTime;
berths\_ships(i,7) = start\_time;
if berths\_ships(i,7) > max\_finish\_time
 max\_finish\_time = berths\_ships(i,7);
end

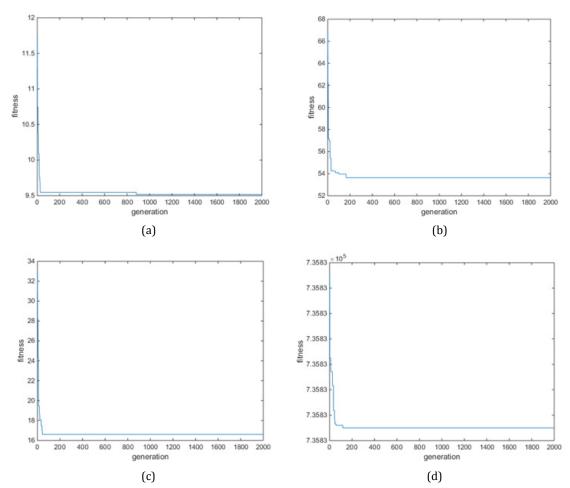


Fig. 1 Iteration process and fitness value

## Table 10 MPPSO results

| Model  | Berth<br>ID | Vessel ID | Starting time    | Waiting time | Finishing time   | Operating time |
|--------|-------------|-----------|------------------|--------------|------------------|----------------|
|        |             | 1         | 2014-08-13 01:00 | 0.00         | 2014-08-13 15:47 | 0.62           |
|        | 1           | 4         | 2014-08-13 15:47 | 0.16         | 2014-08-14 04:40 | 0.54           |
|        |             | 12        | 2014-08-14 04:40 | 0.40         | 2014-08-14 17:00 | 0.51           |
| 1      | 2           | 13        | 2014-08-13 00:00 | 0.00         | 2014-08-13 15:00 | 0.63           |
| 1      |             | 10        | 2014-08-13 15:00 | 0.42         | 2014-08-14 06:51 | 0.66           |
| -<br>- |             | 9         | 2014-08-14 06:51 | 0.33         | 2014-08-14 20:24 | 0.56           |
|        | 3           | 3         | 2014-08-13 09:30 | 0.00         | 2014-08-13 10:15 | 0.03           |
|        | 4           | 5         | 2014-08-13 05:00 | 0.00         | 2014-08-14 00:45 | 0.82           |

|    |            |         | Table 10 MPPSO results (continuation) |      |                                      |      |  |
|----|------------|---------|---------------------------------------|------|--------------------------------------|------|--|
|    |            | 7       | 2014-08-13 07:00                      | 0.00 | 2014-08-13 16:56                     | 0.41 |  |
|    |            | ,<br>11 | 2014-08-13 17:00                      | 0.00 | 2014-08-14 03:54                     | 0.45 |  |
|    | 5          | 6       | 2014-08-14 03:54                      | 0.33 | 2014-08-14 07:30                     | 0.15 |  |
|    |            | 8       | 2014-08-14 07:30                      | 0.56 | 2014-08-14 18:44                     | 0.47 |  |
|    |            | 14      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 16:36                     | 0.69 |  |
|    | 6          | 2       | 2014-08-13 19:00                      | 0.00 | 2014-08-14 14:15                     | 0.80 |  |
| -  |            | 1       | 2014-08-13 01:00                      | 0.00 |                                      |      |  |
|    | 1          | 11      | 2014-08-13 17:00                      | 0.00 | 2014-08-13 15:47<br>2014-08-14 03:54 | 0.62 |  |
|    | 1          | 12      | 2014-08-14 03:54                      | 0.37 |                                      | 0.45 |  |
|    |            | 13      | 2014-08-13 00:00                      | 0.00 | 2014-08-14 16:14                     | 0.51 |  |
|    | 2          | 4       | 2014-08-13 15:00                      | 0.00 | 2014-08-13 15:00                     | 0.63 |  |
|    | 2          | 10      | 2014-08-13 13:00                      | 1.09 | 2014-08-14 07:06                     | 0.67 |  |
|    | 3          | 3       | 2014-08-13 09:30                      | 0.00 | 2014-08-14 22:57                     | 0.66 |  |
| 2  | <u></u>    | 7       | 2014-08-13 07:00                      | 0.00 | 2014-08-13 10:15                     | 0.03 |  |
|    | 4          |         |                                       |      | 2014-08-14 07:48                     | 1.03 |  |
|    |            | 5       | 2014-08-13 05:00                      | 0.00 | 2014-08-13 12:54                     | 0.33 |  |
|    | 5          | 6       | 2014-08-13 20:00                      | 0.00 | 2014-08-13 23:36                     | 0.15 |  |
|    |            | 9       | 2014-08-13 23:36                      | 0.03 | 2014-08-14 10:27                     | 0.45 |  |
|    |            | 8       | 2014-08-14 10:27                      | 0.69 | 2014-08-14 21:41                     | 0.47 |  |
|    | 6          | 14      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 16:36                     | 0.69 |  |
| ·- |            | 2       | 2014-08-13 19:00                      | 0.00 | 2014-08-14 14:15                     | 0.80 |  |
|    |            | 1       | 2014-08-13 01:00                      | 0.00 | 2014-08-13 15:47                     | 0.62 |  |
|    | 1          | 4       | 2014-08-13 15:47                      | 0.16 | 2014-08-14 04:40                     | 0.54 |  |
|    |            | 10      | 2014-08-14 04:40                      | 0.99 | 2014-08-14 17:21                     | 0.53 |  |
|    |            | 13      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 15:00                     | 0.63 |  |
|    | 2          | 6       | 2014-08-13 20:00                      | 0.00 | 2014-08-14 00:30                     | 0.19 |  |
|    |            | 12      | 2014-08-14 00:30                      | 0.23 | 2014-08-14 15:54                     | 0.64 |  |
| 3  | 3          | 3       | 2014-08-13 09:30                      | 0.00 | 2014-08-13 10:15                     | 0.03 |  |
| -  | 4          | 5       | 2014-08-13 05:00                      | 0.00 | 2014-08-14 00:45                     | 0.82 |  |
|    |            | 9       | 2014-08-14 00:45                      | 0.07 | 2014-08-15 03:51                     | 1.13 |  |
|    |            | 7       | 2014-08-13 07:00                      | 0.00 | 2014-08-13 16:56                     | 0.41 |  |
|    | 5          | 11      | 2014-08-13 17:00                      | 0.00 | 2014-08-14 03:54                     | 0.45 |  |
|    |            | 8       | 2014-08-14 03:54                      | 0.41 | 2014-08-14 15:08                     | 0.47 |  |
|    | 6          | 14      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 16:36                     | 0.69 |  |
|    |            | 2       | 2014-08-13 19:00                      | 0.00 | 2014-08-14 14:15                     | 0.80 |  |
|    |            | 1       | 2014-08-13 01:00                      | 0.00 | 2014-08-13 15:47                     | 0.62 |  |
|    | 1          | 12      | 2014-08-13 19:00                      | 0.00 | 2014-08-14 07:20                     | 0.51 |  |
|    |            | 4       | 2014-08-14 07:20                      | 0.81 | 2014-08-14 20:13                     | 0.54 |  |
|    |            | 13      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 15:00                     | 0.63 |  |
|    | 2          | 10      | 2014-08-13 15:00                      | 0.42 | 2014-08-14 06:51                     | 0.66 |  |
|    |            | 9       | 2014-08-14 06:51                      | 0.33 | 2014-08-14 20:24                     | 0.56 |  |
| 4  | 4          | 5       | 2014-08-13 05:00                      | 0.00 | 2014-08-14 00:45                     | 0.82 |  |
| 4  |            | 7       | 2014-08-13 07:00                      | 0.00 | 2014-08-13 16:56                     | 0.41 |  |
|    | F          | 8       | 2014-08-13 18:00                      | 0.00 | 2014-08-14 05:14                     | 0.47 |  |
|    | 5          | 11      | 2014-08-14 05:14                      | 0.51 | 2014-08-14 16:08                     | 0.45 |  |
|    |            | 6       | 2014-08-14 16:08                      | 0.84 | 2014-08-14 19:44                     | 0.15 |  |
|    |            | 14      | 2014-08-13 00:00                      | 0.00 | 2014-08-13 16:36                     | 0.69 |  |
|    | 6          | 3       | 2014-08-13 16:36                      | 0.30 | 2014-08-13 17:15                     | 0.03 |  |
|    |            | 2       | 2014-08-13 19:00                      | 0.00 | 2014-08-14 14:15                     | 0.80 |  |
|    | - C +1 ''' |         |                                       |      |                                      |      |  |

Note: the unit of the waiting time and operating time is days.

#### 4.3 Discussion

On the condition of small samples (Vn = 14, Bn = 6), for Model 1, the total service time, the maximum waiting time and the maximum operating time are minimal, and the makespan is the earliest. For Model 2 and Model 3, the total service time, the maximum waiting time and the maximum operating time will be increased slightly, and the makespan can be delayed. For model 4, the total service time is the highest, but the maximum waiting time and the maximum operating time are relatively small. The makespan is also the earliest (Table 11).

On the condition of big samples (Vn = 24, Bn = 6), for Model 1, the total service time is still the smallest, but the maximum waiting time and the maximum operating time are increased. Additionally, the makespan is the latest. For Model 2 and Model 3, the total service time is still large, but the maximum waiting time and the maximum operating time are relatively small, and the makespan is competitive compared with Model 1. For model 4, the total service time is still the highest, but the maximum operating time, especially the maximum waiting time, is the lowest. The makespan is the earliest (Table 11).

On one hand, the total service time of berth needs to be decreased to satisfy the general requirements, so the model considering priority offers a great advantage. On the other hand, the total service time of berths reflects the capacity; the longer the service time is, the higher the berth service capacity will be. The departure time of the last vessel needs to considered, so the model minimizing makespan offers a great advantage. In short, we can choose a suitable model according to the actual situation in bulk cargo port scheduling.

| 14010 11 comparative results of roar mounts |           |           |         |                 |                        |         |                  |                  |  |
|---|-----------|-----------|---------|-----------------|------------------------|---------|------------------|------------------|--|
| Model                                       | Total ser | vice time |         | n waiting<br>ne | Maximum operating time |         | Makespan         |                  |  |
|   | Small     | Big       | Small   | Big             | Small                  | Big     | Small            | Big              |  |
|   | samples   | samples   | samples | samples         | samples                | samples | samples          | samples          |  |
| 1   | 9.55      | 24.01     | 0.56    | 2.20            | 0.82                   | 1.04    | 2014-08-14 20:24 | 2014-08-16 00:25 |  |
| 2   | 9.79      | 24.39     | 1.09    | 2.09            | 1.03                   | 1.09    | 2014-08-14 22:57 | 2014-08-15 19:18 |  |
| 3   | 9.81      | 24.30     | 0.99    | 2.08            | 0.82                   | 1.09    | 2014-08-15 03:51 | 2014-08-15 19:18 |  |
| 4   | 10.54     | 27.54     | 0.84    | 1.52            | 0.82                   | 1.09    | 2014-08-14 20:24 | 2014-08-15 17:49 |  |

Table 11 Comparative results of four models

#### 5. Conclusion

Social and economic progress drives the development of bulk cargo port transportation, so the transportation demand is also growing. However, scheduling management and efficiency are incompatible with its development. The problems are as follows: (1) The scheduling is dispersed. Due to multi-level allocation, vessel scheduling is cumbersome, and much repetitive work is done, thereby affecting the efficiency of scheduling. (2) The management mode lags behind. People basically rely on experience to manage berth scheduling. Therefore, reducing the total service time of berths and maximizing their operational capabilities (minimizing the makespan) are of great importance to improving the efficiency and management of bulk cargo port scheduling.

This paper sorts the factors affecting bulk cargo port scheduling, such as the number of vessels, the number of berths, vessel-berthing constraints, the service priority, and the makespan, and establishes the NP model, which aims to minimize the total service time and makespan, and solves the model based on the MPPSO algorithm. Through computational experiments, we obtain information not only about the berth allocation (the starting time, finishing time, waiting time, and operating time) but also the comparative results of different modes (the total service time, maximum waiting time, maximum operating time, and makespan). We can choose a suitable model according to the actual situation in bulk cargo port scheduling.

Of course, like all studies, this paper has certain limitations and deficiencies. To guarantee the computability of the model, this paper assumes that the vessels cannot move to other berths if the berth allocation is complete, and the time of vessels moving is ignored. We should further study these topics.

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